This report and the short version may be ordered from

USAID Development Information Services Clearinghouse (DISC) 1611 N. Kent Street, Suite 200 Arlington, VA 22209–2111 Telephone: (703) 351–4006

Fax: (703) 351-4039

Internet: docorder@disc.mhs.compuserve.com

An electronic version, excluding maps and graphs, is available on the Internet, gopher.info.usaid.gov, under Documents and Publications. Select USAID Publications and Reports, then Agency Operations and Management.

On Magellan, from the PPC File Access System select "program monitoring," then C for the short version or D for the full report. The Executive Information System also houses the report, under Global Information and CDIE Reports.

Cover photos, from left, top row: Democracy, USAID, El Salvador; Environment, Digital Stock Corp., fish; bottom row: Economic Growth, Margie Ferris-Morris, Cape Verde; Health-Population, Piers Cavendish, Bangladesh; Humanitarian Assistance, John Chiasson, Mali.

Annual Performance Report 1995

Full Report

Center for Development Information and Evaluation Bureau for Policy and Program Coordination U.S. Agency for International Development

February 1996

Table of Contents

	Page
Foreword	ix
1. The Agency's Strategic Framework	1
The Agency's Strategic Framework, 1995–96 Distribution of Programs and Budget Across Agency Goals	4 6 9
Strategic Planning and Performance Measurement Cross-Cutting Issues	9
2. Achieving Broad-Based Economic Growth	15
Broad-Based Sustainable Economic Growth	15
Objectives and Strategic Approaches	17
Strengthened Markets	19
Expanded Access and Opportunity for the Poor	28 33
Expanded and Improved Basic Education Summary	41
3. Building Democracy	45
Measuring USAID Democracy and Governance Programs	46
Strengthened Rule of Law and Respect for Human Rights	51
More Genuine and Competitive Political Processes	54
Increased Development of Politically Active Civil Society	57
More Transparent and Accountable Government Institutions	61
4. Stabilizing World Population and Protecting Human Health	69
Approaches for Impact and Sustainability	69
Sustainable Reduction in Unintended Pregnancies	74
Sustainable Reduction in Child Mortality	78
Sustainable Reduction in Maternal Mortality	83
Sustainable Reduction in STI/HIV Transmission	87 91
Lessons Learned and Challenges Ahead	91

	Page
5. Protecting the Environment	95
Overview of USAID's 1995 Environmental Objectives	95
Measuring Program Performance	99
Conserving Biological Diversity	100
Reducing the Threat of Global Climate Change	105
Promoting Sustainable Urbanization and Improving Pollution Management	108
Increasing the Provision of Environmentally Sound Energy Services	112
Sustainable Natural Resource Management	115
Integrating Environmental Objectives	120
6. Pursuing Humanitarian Assistance	123
USAID's Humanitarian Assistance Goal and Strategy	124
Measuring Performance	126
Potential Impact of Humanitarian Crises Reduced (Prevention)	126
Urgent Needs Met in Crisis Situations (Relief)	128
Security Established and Basic Institutions Functioning to Meet Critical Needs	131
and Protect Basic Rights (Transition)	
Prevention, Relief, and Development Linkages	136
Lessons Learned and Challenges	138
7. Managing for Results	141
Background	141
Reengineering the Agency	142
Managing for Results in Regional Bureaus	145
Managing for Results in the Field	148
Managing for Results in Central Bureaus	149
Managing for Results as an Agency	150
Lessons Learned and Challenges for the Future	151

Appendices

- A. USAID programs included in the Annual Performance Report: 1995.
- B. The Agency's Strategic Framework and Indicators, 1995/96.

Index

Boxes, Figures, Maps, and Tables

		P	age
Bo	xes		
	1.1	Baltimore Takes a Cue From Kenya, Jamaica	6
	1.2	Performance Measurement and Evaluation: Both are Required to Report Resul	ts 10
	2.1	Economic Transition Through Privatization	22
	2.2	The Far-Reaching Effects of Policy Reform in Guinea-Bissau	23
	2.3	In the Middle East, a Road for Peace and Trade	25
	2.4	Huge Payoffs from Road Construction in Africa	26
	2.5	Grasping Reform Opportunities in Africa	29
	2.6	Combining Program Approaches in Honduras	31
	2.7	Participatory Reform in El Salvador: An Ongoing Process	35
	2.8	Benin's Institutional Reforms Lead to Increases in Access and Quality	37
	2.9	Uganda's Complementary Approach to Policy Reform and School-Level Improvement	38
	2.10	Tackling Female Illiteracy in Nepal	39
	2.11	Expanding Access in Guatemala	40
	3.1	Ensuring Public Security in Haiti	53
	3.2	Democracy Consolidated in Mali	60
	3.3	Strengthening Bolivia's Legislative and Judicial Branches	65
	4.1	Countries in Transition: USAID Promotes Health Sector Reforms in the New Independent States	73
	4.2	USAID, World Bank, Indonesian Government Collaborate on Quality Assurance	73
	4.3	How Communication Changes Behavior	76
	4.4	Working Toward Sustainability	77
	4.5	India: National Survey Completed in World's Second Most Populous Country	78
	4.6	USAID Develops Technology to Ensure Vaccine Quality, Reduce Wastage	81
	4.7	In Nepal, Home Delivery Kits Promote Maternal Health	86
	4.8	USAID Develops Ways to Control the AIDS Pandemic	88
	5.1	The Global Bureau's New Environmental Strategic Plan	100
	5.2	Honduras: Women are Key Players in Sustainable Agriculture	118
	6.1	Prevention of Famine in Southern Africa	127
	6.2	Seeds of Hope	130
	6.3	Children in Crisis	134
	6.4	Rehabilitation for Victims of War	135
	6.5	Mozambique's Transition From War to Peace	136

]	Page
	7.1	The New Partnerships Initiative	144
	7.2	Participation and Empowerment	144
	7.3	Forming Strategic Objective Teams	145
	7.4	Customer Focus	145
	7.5	Building a Results Framework	146
	7.6	Negotiating a Strategic Objective Agreement	147
Fig	ures		
	1.1	U.S. National Interests, Agency Mission and Agency Goals	5
	1.2	Number of Country Programs Contributing to each Goal and Objective	7
	1.3	Amount of USAID Budget Obligated to Each Agency Goal: 1992-1995	8
	1.4	Proportion of USAID Budget Obligated to Each Agency Goal: 1992-1995	8
	1.5	Proportion of DA and DFA Accounts Obligated to Each Agency Goal: 1992-1995	9
	2.1	Economic Growth Strategic Framework 1995 Number of Missions Contributing to each Objective	18
	2.2	Number of Country Programs Contributing to Agency Objective 1.1	20
	2.3	Number of Country Programs Contributing to Agency Objective 1.2	29
	2.4	Number of Country Programs Contributing to Agency Objective 1.3	33
	3.1	Democracy Strategic Framework 1995 Number of Missions Contributing to each Objective	48
	3.2	Number of Country Programs Contributing to Agency Objective 2.1	51
	3.3	Number of Country Programs Contributing to Agency Objective 2.2	54
	3.4	Number of Country Programs Contributing to Agency Objective 2.3	58
	3.5	Number of Country Programs Contributing to Agency Objective 2.4	61
	4.1	Population and Health and Nutrition Strategic Framework 1995 Number of Missions Contributing to each Objective	71
	4.2	Number of Country Programs Contributing to Agency Objective 3.1	74
	4.3	Fertility Trends in Selected Countries	75
	4.4	Number of Country Programs Contributing to Agency Objective 3.2	78
	4.5	Infant and Under 5 Mortality Rates for All Developing Countries (Excluding China), 1980-95	79
	4.6	Polio 3 Vaccination Coverage Rates for All Developing Countries, 1984-94	80
	4.7	Measles Vaccination Coverage Rates for All Developing Countries, 1984-94	80
	4.8	Number of Country Programs Contributing to Agency Objective 3.3	83
	4.9	Women's Reproductive Health: Annual Statistics	84
	4.10	Number of Missions Contributing to Agency Objective 3.4	87
	5.1	Environmental Strategic Framework 1995 Number of Missions Contributing to each Objective	96
	5.2	USAID Environmental Obligations by Region (FY95 Budget = \$798.6 million)	99
	5.3	USAID Environmental Obligations by Objective, FY95	99
	5.4	Number of Country Programs Contributing to Agency Objective 4.1	101
	5.5	Percent of National Land Area Protected	102
	5.6	Number of Country Programs Contributing to Agency Objective 4.2	105
	5.7	Sources of Carbon Dioxide Emissions (Land Use and Energy Processes) 1991	106
	5.8	Number of Country Programs Contributing to Agency Objective 4.3	108
	5.9	Percent of Urban Population with Access to Sanitation Services	109
	5.10	Per Capita Growth of Commercial Energy Use 1971-91	112
	5.11	Number of Country Programs Contributing to Agency Objective 4.4	113
	5.12	Number of Country Programs Contributing to Agency Objective 4.5	115

			Page
	5.13	Per Capita Internal Renewable Water Resources, 1992	116
	6.1	Number of Declared Emergencies by Type: 1985-95	124
	6.2	Humanitarian Assistance Strategic Framework 1995	125
	6.3	Agency Program Approaches Contributing to Agency Objective 5.1	127
	6.4	Agency Program Approaches Contributing to Agency Objective 5.2	128
	6.5	Agency Program Approaches Contributing to Agency Objective 5.3	131
Ma	ıps		
	1.1	The 93 Countries with USAID Programs Featured in this Report	3
	2.1	Programs with Strategies Contributing to Economic Growth Goal	16
	3.1	Freedom Ranking in Countries Identifying Democracy and Governance as a Strategic Objective	47
	3.2	Programs with Strategies Contributing to Democracy and Governance Goal	50
	4.1	Programs with Strategies Contributing to Health and Population Goal	70
	5.1	Programs with Strategies Contributing to the Environment Goal	97
Tal	bles		
	1.1	Amount and Percent of USAID Budget Obligated to Each Agency Goal: 1992-95 (\$000,000s)	8
	2.1	USAID Programs Contributing to Agency Economic Growth Goal in 1995	19
	2.2	Illustrative Achievements from Policy, Legal, and Regulatory Reforms	21
	2.3	Illustrative Achievements from Institutional Strengthening Efforts	24
	3.1	USAID Missions with Democracy and Governance Objectives in 1995	49
	4.1	USAID Programs with Population, Health, and Nutrition Objectives in 1995	72
	5.1	USAID Missions with Environmental Objectives in 1995	98
	6.1	Emergency Food-Aid Recipients by Region FY 1995 (000s)	129
Ap	pendix	x Figures	
	B.1	Agency Strategic Framework: Principal Components	B-4
	B.2	Agency Strategic Framework: Expanded Version of Components	B-5
	B.3	Agency Strategic Framework: The Link between the Agency	B-6
		Strategic Framework and Operating Unit Results Framework	
		Relationship of Agency & Operating Unit Strategic Plans	B-7
		U.S. National Interests, Agency Mission and Agency Goals	B-8
	Fig 2	USAID Strategic Framework 1995/96: Agency Mission, Goals and Objectives	B-9
	Fig 3a	Economic Growth Strategic Framework 1995/96	B-10
	Fig 3b	Agency Economic Growth Goal and Objectives 1995/96, with Indicators	B-11
	Fig 4a	Democracy Strategic Framework 1995/96	B-12
	Fig 4b	Agency Democracy Goal and Objectives 1995/96, with Indicators	B-13
	Fig 5a	Population, Health and Nutrition Strategic Framework 1995/96	B-14
	Fig 5b	Agency Population, Health and Nutrition Goal and Objectives 1995/96, with Indicators	B-15
	Fig 6a	Environment Strategic Framework 1995/96	B-16
		Agency Environment Goal and Objectives 1995/96, with Indicators	B-17
		Humanitarian Assistance Strategic Framework 1995/96	B-18
	Fig 7b	Agency Humanitarian Assistance Goal and Objectives 1995/96, with Indicators	B-19

		Page
Append	ix Maps	
A.1	The 106 Countries with USAID Programs in 1995	A-5
A.2	The 39 Countries with Sustainable Development Programs in 1995	A-6
A.3	The 33 Countries with Transition Programs in 1995	A-7
A.4	The 17 Countries with "Other" Programs in 1995	A-8
A.5	The 17 Countries with Programs Closing Out in 1995 or 1996	A-9
A.6	The Countries in the AFR Bureau with USAID Programs in 1995	A-10
A.7	The Countries in the ANE Bureau with USAID Programs in 1995	A-11
A.8	The Countries in the ENI Bureau with USAID Programs in 1995	A-12
A.9	The Countries in the LAC Bureau with USAID Programs in 1995	A-13
Append	ix Tables	
A.1	Sustainable Development Programs	A-2
A.2	Transition Programs	A-3
A.3	Other Country Programs	A-3
A.4	Closeout Programs	A-4
A.5	Regional or Central Programs	A-4

Foreword

This is the fourth annual performance report of USAID's programs. It is the first one organized according to the strategic framework developed by Agencywide teams in 1995. The report responds to the "Government Performance and Results Act of 1993," which requires that all government agencies establish performance objectives and report their progress annually to Congress.

The first chapter introduces the Agency's strategic framework, which shows the links between the Agency's mission of sustainable development, its five goals and 19 objectives, and several national interests of the United States. Each of the next five chapters deals with the results of USAID programs contributing to each of the goals and their objectives. The results are taken from the annual performance reports for 1995 of 93 country programs. They are supplemented with reports of results from programs managed by central Bureaus and, where appropriate, lessons learned from evaluations, special studies, and reports taken directly from Mission staff. The final chapter records our progress in reorganizing the Agency and reengineering the way we work and manage for results. Each chapter ends with a brief summary of lessons learned and challenges for the coming year.

The production of this report illustrates some of the ways we are implementing the core values of reengineering. It is the product of extensive and inclusive teamwork. For each chapter, staff and contractors from several offices did the analysis and wrote the first draft. The drafts were shared with larger reference groups drawn from all central Bureaus and selected Missions. Well over 100 people worked in the teams and contributed directly to this annual report.

There are two volumes to the report. The first is a **Short Report** that summarizes the key findings of this second **Full Report**. Printed copies of both are available from the address on the inside front cover. The text, without figures and maps, is available on the Internet.

Comments and suggestions for next year's performance report are welcome. Please send them to Graham B. Kerr, PPC/CDIE/PME, via email or the Internet: gkerr@usaid.gov.